

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yasukata DEKISHIMA et al.

Confirmation No.: 6444

Appln. No. : 10/588,286
(National Stage of PCT/JP2005/002093)

Attention: OIPE, Customer

I.A. Filed : February 4, 2005

Service Center

For :METHOD FOR PRODUCING ALCOHOL AND CARBOXYLIC ACID HAVING
OPTICAL ACTIVITY

SECOND REQUEST FOR CORRECTION OF OFFICAL FILING RECEIPT

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop Missing Parts
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

The second priority number of the above-identified application, as it appears on the
Official Filing Receipt, is incorrect. The second priority number should read ---**2004-147023**; --- not
004-047023

Please find enclosed herewith a copy of our date-stamped mailroom filing receipt
evidencing the filing of the above-identified application on July 9, 2007, as well as a copy of the
postcard received from the U.S. Patent and Trademark Office having the bar coded sticker with the
application number and showing the correct filing date of July 9, 2007. Also attached, please find a
copy of the incorrect Official Filing Receipt with the requested changes noted thereon and the
original Request for Correction of Official Filing Receipt dated July 2, 2008.

Please correct the priority number of the above-identified application to read as follows:

2004-147023

instead of:

2004-047023

and forward a corrected copy of the Official Filing Receipt to the undersigned.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,
Yasukata DEKISHIMA



Bruce H. Bernstein
Reg. No. 29,027

Stephen M. Roylance
Reg. No. 31,296

August 18, 2008
GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1400
 Alexandria, Virginia 22313-1400
 www.uspto.gov

| APPLICATION NUMBER | FILING or 371(c) DATE | GRP ART UNIT | FIL.FEE REC'D | ATTY.DOCKET NO | TOT CLAIMS | IND CLAIMS |
|-----------------------|--------------------------|-----------------|---------------|----------------|------------|------------|
| 10/588,286 | 07/09/2007 | 1632 | 2430 | P30416 | 19 | 10 |

7055

GREENBLUM & BERNSTEIN, P.L.C.
 1950 ROLAND CLARKE PLACE
 RESTON, VA 20191

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JUL 29 2008

GREENBLUM & BERNSTEIN PLC

CONFIRMATION NO. 6444
 CORRECTED FILING RECEIPT



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Date Mailed: 07/29/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt Incorporating the requested corrections

Applicant(s)

Yasumasa Dekishima, Kanagawa, JAPAN;
 Hiroshi Kawabata, Kanagawa, JAPAN;
 Hirotohi Hiraoka, Tokyo, JAPAN;
 Makoto Ueda, Kanagawa, JAPAN;
 Hisatoshi Uehara, Kanagawa, JAPAN;

Assignment For Published Patent Application

API Corporation, Osaka, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 7055

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/02093 02/04/2005

Foreign Applications

JAPAN 2004-027815 02/04/2004

JAPAN 2004-047023 04/13/2004

2004-147023

If Required, Foreign Filing License Granted: 06/16/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/588,286**

Projected Publication Date: 09/25/2008

Non-Publication Request: No

Early Publication Request: No

Title

Method For Producing Alcohol and Carboxylic Acid Having Optical Activity

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

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LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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Also, the second inventor's residence for Hiroshi Kawabata of the above-identified application, as it appears on the Official Filing Receipt, is incorrect. The second's inventor's residence for Hiroshi Kawabata should read --- Kanagawa ---, and not Kawabata.

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Kanagawa

instead of:

Kawabata

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Yasukata DEKISHIMA


Bruce H. Bernstein
Reg. No. 29,027

July 2, 2008
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Stephen M. Roylance
Reg. No. 31,296

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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RESTON, VA 20191

FILING RECEIPT



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JUN 19 2008

Date Mailed: 06/19/2008

GREENBLUM & BERNSTEIN PLC

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Hiroshi Kawabata, Kawabata, JAPAN; → Kanagawa
Hirotoishi Hiraoka, Tokyo, JAPAN;
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set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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